PATENT COOPERATION TREATY

From the
INTERNATIONAL PRELIMINARY EXAMINING AUTHORITY

PCT

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20 MAY 2004
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IPM : N/A | ON | UPDATED (day/month/year)
ATTY CHECKED/FILE

NOTIFICATION OF TRANSMITTAL OF THE INTERNATIONAL PRELIMINARY EXAMINATION REPORT
(PCT Rule 71.1)

Date of mailing (day/month/year): 18.05.2004

Applicant's or agent's file reference
AXP/PG4788

IMPORTANT NOTIFICATION

International application No.	International filing date (day/month/year)	Priority date (day/month/year)
PCT/EP 03/03348	27.03.2003	28.03.2002

Applicant
GLAXO GROUP LIMITED

1. The applicant is hereby notified that this International Preliminary Examining Authority transmits herewith the international preliminary examination report and its annexes, if any, established on the international application.

2. A copy of the report and its annexes, if any, is being transmitted to the International Bureau for communication to all the elected Offices.

3. Where required by any of the elected Offices, the International Bureau will prepare an English translation of the report (but not of any annexes) and will transmit such translation to those Offices.

4. **REMINDER**

   The applicant must enter the national phase before each elected Office by performing certain acts (filing translations and paying national fees) within 30 months from the priority date (or later in some Offices) (Article 39(1)) (see also the reminder sent by the International Bureau with Form PCT/IB/301).

   Where a translation of the international application must be furnished to an elected Office, that translation must contain a translation of any annexes to the international preliminary examination report. It is the applicant's responsibility to prepare and furnish such translation directly to each elected Office concerned.

   For further details on the applicable time limits and requirements of the elected Offices, see Volume II of the PCT Applicant's Guide.

   The applicant's attention is drawn to Article 33(5), which provides that the criteria of novelty, inventive step and industrial applicability described in Article 33(2) to (4) merely serve the purposes of international preliminary examination and that "any Contracting State may apply additional or different criteria for the purposes of deciding whether, in that State, the claimed inventions is patentable or not" (see also Article 27(5)). Such additional criteria may relate, for example, to exemptions from patentability, requirements for enabling disclosure, clarity and support for the claims.

Name and mailing address of the international preliminary examining authority:

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EV332062835US

PATENT COOPERATION TREATY

PCT

INTERNATIONAL PRELIMINARY EXAMINATION REPORT

(PCT Article 36 and Rule 70)

Applicant's or agent's file reference AXP/PG4788	**FOR FURTHER ACTION**	See Notification of Transmittal of International Preliminary Examination Report (Form PCT/IPEA/416)
International application No. PCT/EP 03/03348	International filing date *(day/month/year)* 27.03.2003	Priority date *(day/month/year)* 28.03.2002
International Patent Classification (IPC) or both national classification and IPC A61K31/5377		
Applicant GLAXO GROUP LIMITED		

1. This international preliminary examination report has been prepared by this International Preliminary Examining Authority and is transmitted to the applicant according to Article 36.

2. This REPORT consists of a total of 5 sheets, including this cover sheet.

   ☐ This report is also accompanied by ANNEXES, i.e. sheets of the description, claims and/or drawings which have been amended and are the basis for this report and/or sheets containing rectifications made before this Authority (see Rule 70.16 and Section 607 of the Administrative Instructions under the PCT).

   These annexes consist of a total of sheets.

3. This report contains indications relating to the following items:

   - I ☒ Basis of the opinion
   - II ☐ Priority
   - III ☒ Non-establishment of opinion with regard to novelty, inventive step and industrial applicability
   - IV ☐ Lack of unity of invention
   - V ☒ Reasoned statement under Rule 66.2(a)(ii) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement
   - VI ☐ Certain documents cited
   - VII ☐ Certain defects in the international application
   - VIII ☐ Certain observations on the international application

Date of submission of the demand	Date of completion of this report
30.09.2003	18.05.2004
Name and mailing address of the international preliminary examining authority: European Patent Office D-80298 Munich Tel. +49 89 2399 - 0 Tx: 523656 epmu d Fax: +49 89 2399 - 4465	Authorized Officer Johnson, C Telephone No. +49 89 2399-8287

INTERNATIONAL PRELIMINARY EXAMINATION REPORT

International application No. PCT/EP 03/03348

I. Basis of the report

1. With regard to the **elements** of the international application *(Replacement sheets which have been furnished to the receiving Office in response to an invitation under Article 14 are referred to in this report as "originally filed" and are not annexed to this report since they do not contain amendments (Rules 70.16 and 70.17))*:

Description, Pages

1-78 as originally filed

Claims, Numbers

1-24 as originally filed

2. With regard to the **language**, all the elements marked above were available or furnished to this Authority in the language in which the international application was filed, unless otherwise indicated under this item.

   These elements were available or furnished to this Authority in the following language: , which is:

   ☐ the language of a translation furnished for the purposes of the international search (under Rule 23.1(b)).

   ☐ the language of publication of the international application (under Rule 48.3(b)).

   ☐ the language of a translation furnished for the purposes of international preliminary examination (under Rule 55.2 and/or 55.3).

3. With regard to any **nucleotide and/or amino acid sequence** disclosed in the international application, the international preliminary examination was carried out on the basis of the sequence listing:

   ☐ contained in the international application in written form.

   ☐ filed together with the international application in computer readable form.

   ☐ furnished subsequently to this Authority in written form.

   ☐ furnished subsequently to this Authority in computer readable form.

   ☐ The statement that the subsequently furnished written sequence listing does not go beyond the disclosure in the international application as filed has been furnished.

   ☐ The statement that the information recorded in computer readable form is identical to the written sequence listing has been furnished.

4. The amendments have resulted in the cancellation of:

   ☐ the description, pages:

   ☐ the claims, Nos.:

   ☐ the drawings, sheets:

5. ☐ This report has been established as if (some of) the amendments had not been made, since they have been considered to go beyond the disclosure as filed (Rule 70.2(c)).

   (Any replacement sheet containing such amendments must be referred to under item 1 and annexed to this report.)

6. Additional observations, if necessary:

III. Non-establishment of opinion with regard to novelty, inventive step and industrial applicability

1. The questions whether the claimed invention appears to be novel, to involve an inventive step (to be non-obvious), or to be industrially applicable have not been examined in respect of:

   ☐ the entire international application,

   ☒ claims Nos. 18(part),19(part),23

   because:

   ☒ the said international application, or the said claims Nos. 23 relate to the following subject matter which does not require an international preliminary examination (specify):

   see separate sheet

   ☒ the description, claims or drawings *(indicate particular elements below)* or said claims Nos. 18(part),19(part) are so unclear that no meaningful opinion could be formed *(specify)*:

   see separate sheet

   ☐ the claims, or said claims Nos. are so inadequately supported by the description that no meaningful opinion could be formed.

   ☐ no international search report has been established for the said claims Nos.

2. A meaningful international preliminary examination cannot be carried out due to the failure of the nucleotide and/or amino acid sequence listing to comply with the standard provided for in Annex C of the Administrative Instructions:

   ☐ the written form has not been furnished or does not comply with the Standard.

   ☐ the computer readable form has not been furnished or does not comply with the Standard.

V. Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement

1. Statement

Novelty (N)	Yes:	Claims	1-18, 20-24
	No:	Claims	19
Inventive step (IS)	Yes:	Claims	1-18,20-24
	No:	Claims	19
Industrial applicability (IA)	Yes:	Claims	1-22,24
	No:	Claims	

2. Citations and explanations

   see separate sheet

III. Non-establishment of opinion

Claims 18 and 19 do not fulfil the requirements of Article 6 PCT and have thus only been searched insofar as the "protected amino group" is as defined on p. 28, l. 17.

Claim 23 relates to subject-matter considered by this Authority to be covered by the provisions of Rule 67.1(iv) PCT. Consequently, no opinion will be formulated with respect to the industrial applicability of the subject-matter of this claim (Article 34(4)(a)(i) PCT).

V. Reasoned statement

Reference is made to the following documents:

D1: WO-A-0031032

D2: Journal Of Medicinal Chemistry, American Chemical Society. Washington, US (05-1990), 33(5), 1406-1413

Novelty

The compounds of claim 1 differ from those of D1 because of the presence of a morpholine ring rather than a pyrrolidine ring.

D2 discloses a compound 9 which is novelty destroying for claim 19.

Claim 19 therefore does not fulfil the requirements of Article 33(2) PCT.

Inventive step

In view of the lack of novelty, claim 19 cannot be considered inventive.

The compounds of D1 are CCR-3 antagonists. The technical problem appears to be the provision of further CCR-3 antagonists for use in the treatment of inflammatory diseases. The cited documents do not make it obvious to replace the pyrrolidine ring by morpholine in the expectation that the activity would be maintained. Therefore those compounds of claim 1 which have the alleged activity may be considered inventive. The intermediates of claims 18 and 19 possess a direct precursor of the morpholine ring which makes claim 1 inventive, hence those intermediates which are new are also considered inventive.

Claims 1-18, 20-24 fulfil the requirements of Article 33(3) PCT.

Industrial applicability

Claims 1-22, 24 fulfil the requirements of Article 33(4) PCT.

No unified criteria exist in the PCT Contracting States for assessing whether

present claim 23 is industrially applicable. The patentability can be dependent upon the formulation of the claims. For example, the EPO does not consider claims to the use of a compound in medical treatment to be industrially applicable, but allows claims to a known compound for first use in medical treatment and the use of such a compound for the manufacture of a medicament for a new medical treatment.